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#3  
GP/2673, 35  
9-30-02

Due Date: September 14, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Patrick Hubert et al.	Examiner:	To Be Assigned
Serial No.:	09/940,202	Group Art Unit:	2673
Filed:	August 27, 2001	Docket:	G&C 30566.195-US-01
Title:	INITIALISING MODULES		

**CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on September 9, 2002.

By: Jason S. Feldmar

Name: Jason S. Feldmar

Commissioner for Patents  
Washington, D.C. 20231

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Technology Center 2600

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.
- ☒ Supplemental Information Disclosure Statement and Form PTO-1449.
- ☒ Cited Reference(s).
- ☒ Other Enclosures: British Search Report Appln. No. GB0108953.1 dated August 15, 2002.
- ☒ Return postcard.

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Please consider this a **PETITION FOR EXTENSION OF TIME** for a sufficient number of months to enter these papers, if appropriate.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

Customer Number 22462  
**GATES & COOPER LLP**  
Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, CA 90045  
(310) 641-8797

By: Jason S. Feldmar

Name: Jason S. Feldmar

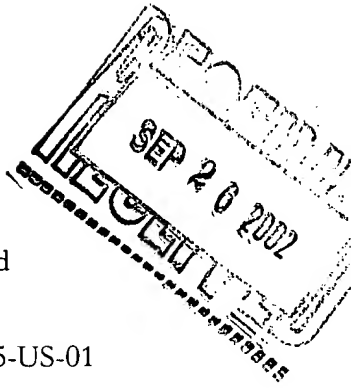
Reg. No.: 39,187

JSF/kmf

(PTO TRANSMITTAL - GENERAL)



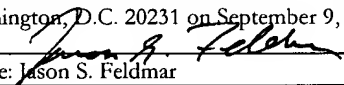
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant: Patrick Hubert et al. Examiner: Not yet assigned  
Serial No.: 09/940,202 Group Art Unit: 2673  
Filed: August 27, 2001 Docket: G&C 30566.195-US-01  
Title: INITIALISING MODULES

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

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By:   
Name: Jason S. Feldmar

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT(37 C.F.R.

§1.97(b)/(c))

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Commissioner for Patents  
Washington, D.C. 20231

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Dear Sir:

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With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. Included are references that were recently cited in the British Patent Office.

This statement should be considered because it is submitted prior to a first Office Action on the merits, and also less than three months after the mailing date of a Communication from a foreign patent office in which the aforementioned items of information were cited, but before the mailing date of either a final action under 37 C.F.R. § 1.113, or a Notice of Allowance under 37 C.F.R. § 1.311. No fee is due as this statement is submitted as certified below under 37 C.F.R. §1.97(e)(1) or (2) by the undersigned.

**Certification Under 37 C.F.R. §1.97(e)**

In accordance with 37 C.F.R. §1.97(c) or §1.97(d), the undersigned hereby certifies that this statement is submitted wherein each item of information listed on the enclosed Form 1449 was cited in a communication from a foreign patent office in a counterpart foreign application within three months of filing this statement (certification under 37 C.F.R. §1.97(e)(1)).

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art". Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

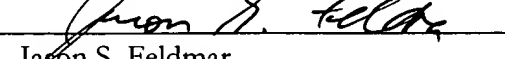
Please direct any response or inquiry to the below-signed attorney at (310) 641-8797.

Respectfully submitted,

GATES & COOPER LLP  
Attorneys for Applicant(s)

Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, California 90045  
(310) 641-8797

Date: September 9, 2002

By:   
Jason S. Feldmar  
Reg. No.: 39,187

JSF/amb



INVESTOR IN PEOPLE

Application No: GB 0108953.1

Examiner: Michael Powell  
Waters

Claims searched: 1 to 30

Date of search: 15 August 2002

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**Patents Act 1977**  
**Search Report under Section 17**

**Databases searched:**

UK Patent Office collections, including GB, EP, WO & US patent specifications, in:

UK Cl (Ed.T): G4A (AFL, APX)

Int Cl (Ed.7): G06F (9/40, 9/44, 9/455)

Other: Online: WPI, EPODOC, PAJ, INSPEC, IBM TDB

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**Documents considered to be relevant:**

Category	Identity of document and relevant passage	Relevant to claims
A, E	EP 1096374 A2 (CITICORP) see column 4 lines 34 to 39 and column 10 lines 25 to 31	1, 11 and 21
A	EP0543588 A2 (IBM) see page 2 line 35 to page 3 line 6, page 3 lines 46 to 49 and table 2	
A	IBM Technical Disclosure Bulletin Vol. 34, No. 6, November 1991, "Representing domain-dependent data in an object-oriented system", pages 291 - 300, especially page 293	

X	Document indicating lack of novelty or inventive step	A	Document indicating technological background and/or state of the art.
Y	Document indicating lack of inventive step if combined with one or more other documents of same category.	P	Document published on or after the declared priority date but before the filing date of this invention.
&	Member of the same patent family	E	Patent document published on or after, but with priority date earlier than, the filing date of this application.

Form 1449\*  
INFORMATION  
SEP 16 2002  
PATENT & TRADEMARK OFFICE

[illegible]

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	1096374.2 A2	02/05/01	Europe				
	0543588 A2	26/05/93	Europe				

		IBM Technical Disclosure Bulletin, Vol. 34, No. 6, November 1991, "Representing domain-dependent data in an object-oriented system", pp. 291-300.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE